## **Continuing Assessment Tool**

Internal Project Identifier		
Previously elevated risk?	Yes No	
Mgmt. plan in place?	Yes No No	
Answers indicating a higher	risk level should be explained in the	Notes. Yes No N/A
General Considerations:	and the same of th	
Is either the Subrecipient Institution or its PI presently debarred or suspended? (SPA: SAM.GOV)		
Does the Subrecipient show "delinquent federal debt"     or other problems in SAM or other federal sources? (SPA: SAM.GOV)		
Another SAM-related issue:  Per 2 CFR 25.110, a foreign entity may have previously been exempted from obtaining a unique entity identifier or registering in SAM if the award was less than \$25,000. If a modification causes the award to exceed \$25,000, this exemption may no longer apply.  For other federal sources, consider FAPIIS for example.		
3 Are there concerns with respect	t to single audit? (OCGA)	
	any of the following occurred:	
1) failure to get an audit where required;     2) an audit finding in the subrecipient's file on this project (or any other project held by PTE); or     3) failure by subrecipient to take timely and appropriate corrective actions on audit findings?		
4 Have any concerns been raised e.g. review of financial or perfor	through monitoring, rmance reports? (DEPT & OCGA)	
Various factors may be considered in responding to this question, such as:  • Has the PI identified any non-compliance with meeting the milestones/deliverables of the Statement of Work?  • Have invoices been submitted accurately, timely, and with allowable expenses?  • If applicable, has the subrecipient taken timely and appropriate action on any known deficiencies detected through on-site reviews or other means?		
If non-compliance has occurred, the F	PTE may consider imposing additional conditions as descr	ribed in 200.207.
5 Have other new concerns been		
Examples include: concerning results from Federal monitoring; failure to comply with federal statutes/regulations or contract terms; and other programmatic or financial risks identified—or any other concerns impacting PTE's ability to comply. PTE may consider imposing specific conditions per 200.207.  If further action is needed, describe in discussion section.		
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